FACSIMILE COVER SHEET

Date:

June 24, 2004

To:

Examiner: Ruixiang Li

U.S. Patent and Trademark Office

Fax No:

(571) 273-0875

From:

Noel Kaman

Tel. No:

312/245-5319

Client No:

10466/134

No. of Pages (inc. this page):

32

Confirmation Copy To Follow:

Yes— No X

BRINKS HOFER GILSON &LIONE

A Professional Corporation Intellectual Property Attorneys

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COVER MESSAGE:

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| | CERTIFICATE | OF FAC | SIMILE TRANSMISS | ION UNDER | R 37 C.F.R. | §1.8 | | | BRIN | | |
| I hereby certi the United St | fy that this corresponde ates Patent and Traden | nce, tota) ark Offic | ing <u>32</u> pages including r e at facsimile no.: (571) 2 | recited attachn 273-0875 on th | nents, is being ne below date: | facsimile trai | nsmitte | ed to | HOF | | |
| Date; <u>June 2</u> 4 | | | | ignature: <u>C.</u> | | Samo | سد | <u>-</u> | GILS | | |
| | | | | | | | | | &LIC | - N | |
| | IN THE U | NITE | STATES PAT | ENT AND | TRADE | MARK |)FFI | CE | | | |
| in re Ap | oln. of: Baker, | et al. | | | | | | | | | |
| Appln. N | io.: 09/944 | 09/944,884 | | | | | Examiner: Ruixiang Li | | | | |
| Filed: | 8/31/20 | 001 | | Art Unit: 1646 | | | | | | | |
| For: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME | | | | | | | | | | | |
| Attorney | Docket No: | 1046 | 6/134 | , | | | | | · | | |
| Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL | | | | | | | | | | | |
| Sir: | | | | | | | | | | | |
| Attached | | | | | | | | | | | |
| ⊠ Am | endment And Req | uest Fo | r Reconsideration; r Reconsideration f | copy of PT | O Commur 14 | ication of (| 05/06 | i/2004; ar | nd a copy | of | |
| | um Receipt Postc | | i ideconsideration i | | | | | | | | |
| Fee calcu | • | | | | | | | | | | |
| ☐ No | additional fee is re | quired. | | | | | | | | | |
| ☐ Small Entity. ☐ An extension fee in an amount of \$420.00 for a 2-month extension of time under 37 C.F.R. § 1.136(a). | | | | | | | | | | | |
| | | | | | | | | .F.K. § 1. | 130(a). | | |
| - | | | n an amount of \$ een calculated as sh | | | | _ /· | | | | |
| L.J AII | additional limig lee | ilds b | on oglociated as of | ionii bolon | | II Entity | 1 | Not a S | mall Entity | , | |
| | Claims Remaining | | Highest No. | Present | Rate | | - | Rate | Add'l Fee | | |
| ~- | After Amendment | Minus | Previously Paid For | Extra | x \$9= | Add'i Fee | \ <u>\\</u> | × \$18= | 7,00 17 00 | | |
| Total Indep. | - | Minus | | | x 43= | | | x \$86= | | | |
| First Presentation of Multiple Dep. Claim | | | | | | | | + \$290= | | | |
| | | | | | Total | \$ | | Total | \$ | | |
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| ⊠ Ple end | ase charge Depos losed for this purp | it Accol ose. | ınt No. 23-1925 in t | he amount | of \$420.00 | . А сору о | f this | Transmi | ttal is | | |

Respectfully submitted,

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any

Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

overpayment, to Deposit Account No. 23-1925.

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| CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 H O F E | | | | | | | | | | | | |
| the Unite | d S | ates Patent and Tradem | ark Onice | All Statisms nor Tax 135 | 73-0875 on the consture: | - 4 | Sawa a | مارد | | GILSO | | |
| Date:Ju | ne 2 | 4, 2004 Name: _C | , Noel Kam | an. Reg. No. 51.857 Sig | gnature: | 1.40 | | | =- | &LION | | |
| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE | | | | | | | | | | | | |
| In re Applin. of: Baker, et al. | | | | | | | | | | | | |
| Applr | In. No.: 09/944,884 | | | | | | Examiner: Ruixiang Li | | | | | |
| Filed: | | 8/31/20 | | Art Unit: 1646 | | | | | | | | |
| For: | SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME | | | | | | | | | | | |
| Attorney Docket No: 10466/134 | | | | | | | | | | | | |
| 1 (((0)) | <u></u> | | | | | | | | | | | |
| Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL | | | | | | | | | | | | |
| Sir: | | | | | | | | | | | | |
| Attacl | | is/are: | | | | | | | | | | |
| Amendment And Request For Reconsideration; copy of PTO Communication of 05/06/2004; and a copy of Amendment And Request For Reconsideration filed 04/12/04. | | | | | | | | | | | | |
| Return Receipt Postcard | | | | | | | | | | | | |
| Fee calculation: | | | | | | | | | | | | |
| No additional fee is required. | | | | | | | | | | | | |
| | | nall Entity. Lextension fee in a | | st of \$430.00 for a 7 | -month ext | onsion of t | ime under : | 37 C. | .F.R. § 1.1 | 136(a). | | |
| | Ar | extension fee in ai petition or processi | n amour | 1(0) \$ <u>420.00</u> lot a <u>2</u> 1 an amount of \$ | under 3 | 7 C.F.R. 8 | |). | | () | | |
| | | additional filing fee | | | | | | | | | | |
| المسا | | | | | | | I <u>l Entity</u> | | Not a S | mall Entity | | |
| | | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee | | |
| Total | | | Minus | | | x \$9= | | | x \$18= | | | |
| Indep. | | | Мілиз | | | x 43= | | | x \$86≔ | | | |
| First Presentation of Multiple Dep. Claim | | | | | +\$145= | | | + \$290= | | | | |
| | | | | | | Total | \$ | <u> </u> | Total | \$ | | |
| Fee p | • | | | | | | | | | | | |
| A check in the amount of \$ is enclosed. | | | | | | | | | | | | |
| Please charge Deposit Account No. 23-1925 in the amount of \$420.00. A copy of this Transmittal is enclosed for this purpose. | | | | | | | | | | | | |
| | Payment by credit card in the amount of \$ (Form PTO-2038 is attached). | | | | | | | | | | | |
| The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. | | | | | | | | | | | | |

Respectfully submitted,

Certificate of Facsimile Transmission

I hereby certify that this correspondence is being facsimile transmitted to Examiner Li at the Patent and Trademark Office Fax No. (571-273-0875) — on

June 24, 2004
Date of Transmission

<u>C. Noel Kaman, Reg. No. 51,857</u> Name of applicant, assignee or Registered Representative

C. Now Konnan

Date of Signature

Our Case No. 10466-134 P2548P1C15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|---|-----------------------------|
| Baker <i>et al</i> . |) |
| Serial No. 09/944,884 |) Examiner Ruixiang Li) |
| Filing Date: 8/31/2001 |) Group Art Unit No. 1646 |
| For SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME |)))) |

AMENDMENT AND REQUEST FOR RECONSIDERATION

Commissioner for Patents P.O. Box 1450 - MS Non-Fee Amendment Alexandria, VA 22313-1450

Dear Sir:

In response to the Advisory Action mailed May 6, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of Claims which begins on page 2 of this paper.